Print

ROTH IRA CONTRIBUTION AND INVESTMENT SELECTION

PART 1. ROTH IRA OWNER

Name *(First/MI/Last)* _____

Social Security Number _____

Date of Birth _____ Phone _____

Email Address _____

Account Number_____ Suffix_____

PART 2. ROTH IRA TRUSTEE OR CUSTODIAN

To be completed by the Roth IRA trustee or custodian

Name _____

Address Line 1 _____

Address Line 2 _____

City/State/ZIP _____

Phone _____ Organization Number _____

PART 3. CONTRIBUTION INFORMATION

Contribution Amount _____ Contribution Date _____

CONTRIBUTION TYPE *(Select one)*

☐ **1. Regular** *(Includes catch-up contributions)*

Contribution for Tax Year _____

☐ **2. Rollover** *(Distribution from a Roth IRA or eligible employer-sponsored retirement plan that is being deposited into this Roth IRA)*

By selecting this transaction, I irrevocably designate this contribution as a rollover.

☐ **3. Transfer** *(Direct movement of assets from a Roth IRA into this Roth IRA)*

☐ **4. Recharacterization** *(A nontaxable movement of a Traditional IRA contribution into this Roth IRA)*

By selecting this transaction, I irrevocably designate this contribution as a recharacterization.

☐ **5. Conversion** *(A taxable movement from a Traditional IRA or SIMPLE IRA into this Roth IRA)*

By selecting this transaction, I irrevocably designate this contribution as a conversion.

PART 4. INVESTMENT AND DEPOSIT INFORMATION

INVESTMENT INFORMATION *(Complete this section as applicable.)*

Investment Description	Quantity or Amount	Status *(new or existing)*	Investment Number	Term or Maturity Date	Interest Rate

DEPOSIT METHOD

☐ **Cash or Check** *(If the contribution type is transfer, the check must be from a financial organization made payable to the trustee for this Roth IRA.)*

☐ **Internal Account**

Account Number _____ Type *(e.g., checking, savings, IRA)* _____

☐ **External Account** *(e.g., EFT, ACH, wire)*

Name of Organization Sending the Assets _____ Routing Number *(optional)* _____

Account Number _____ Type *(e.g., checking, savings, IRA)* _____

Deposit Taken by_____

PART 5. SIGNATURE

I certify that all of the information provided by me is accurate and may be relied upon by the trustee or custodian. I certify that the contribution described above is eligible to be contributed to the Roth IRA and I authorize the deposit/investment in the manner described above.

X _____ _____

Signature of Roth IRA Owner Date *(mm/dd/yyyy)*

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RULES AND CONDITIONS APPLICABLE TO ROTH IRA CONTRIBUTIONS

Roth IRA contribution rules are often complex. The general rules are listed below. If you have any questions regarding a contribution, please consult with a competent tax professional or refer to IRS Publication 590, *Individual Retirement Arrangements (IRAs)*, for more information. This publication is available on the IRS website at www.irs.gov or by calling 1-800-TAX-FORM.

REGULAR

The total amount you may contribute to a Roth IRA for any tax year cannot exceed the lesser of the published annual limit or 100 percent of your earned income and other eligible compensation. Your contribution may be further limited if your income exceeds certain limits. If you also maintain a Traditional IRA, the maximum contribution to your Roth IRA is reduced by any contributions you make to your Traditional IRA.

- You may make a contribution for the prior year up until your tax filing deadline for that year, not including extensions. Designating a contribution for the prior year is irrevocable.
- If you are age 50 or older by the end of the year, you may be eligible to make an additional catch-up contribution to a Roth IRA for that tax year.

ROLLOVER

A rollover is a distribution and a subsequent tax-free movement of assets from any of your Roth IRAs or eligible employer-sponsored retirement plans to your Roth IRA.

- You may roll over only one distribution from each of your Roth IRAs in a 12-month period.
- A rollover generally must be completed within 60 days from the date you receive the assets.
- A rollover contribution of Traditional IRA or SIMPLE IRA assets may not be made to a Roth IRA.

TRANSFER

A transfer is a direct movement of assets to your Roth IRA from any of your other Roth IRAs.

- You may perform an unlimited number of transfers.
- A transfer contribution may not be made from a Traditional IRA or SIMPLE IRA.

RECHARACTERIZATION

A recharacterization is the procedure to treat all or a portion of a contribution to a Traditional IRA as if it had been made to a Roth IRA.

- A contribution that is recharacterized must be adjusted for earnings.
- The recharacterization deadline is your tax filing deadline for the year of the original transaction, including extensions.

CONVERSION

A conversion is a taxable movement of assets from any of your Traditional IRAs or SIMPLE IRAs to your Roth IRA. A conversion contribution may not be made from a SIMPLE IRA within two years of the first contribution to your SIMPLE IRA.

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